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JUN 22 2006

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20985 7590 03/31/2006

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Michelle Simpson	(Depositor's name)
<i>Michelle Simpson</i>	
(Signature)	
06/22/2006	
(Date)	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/772,218	02/03/2004	Anatoly Savchenkov	66618-928901	2201

TITLE OF INVENTION: TUNABLE OPTICAL FILTERS HAVING ELECTRO-OPTIC WHISPERING-GALLERY-MODE RESONATORS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	06/30/2006

EXAMINER	ART UNIT	CLASS-SUBCLAS
LEE, JOHN D.	2874	385-0115000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.361).	2. For printing on the patent front page (37 CFR 1.364) names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.
<input type="checkbox"/> Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.	<input type="checkbox"/> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.
<input type="checkbox"/> 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)	<input type="checkbox"/> 1. Fish & Richardson P.C. _____
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.	
(A) NAME OF ASSIGNEE	
California Institute of Technology	
(B) RESIDENCE (CITY and STATE OR COUNTRY)	
Pasadena, CA	

Please check the appropriate assignee category or categories (will not be printed on the patent): individual corporation or other private group entity government

4a. The following fee(s) are enclosed:

Issue Fee
 Publication Fee (No small entity discount permitted)
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A check in the amount of the fee(s) is enclosed.
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 The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050 (enclose an extra copy of this form).

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1a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. 1b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

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(Authorized Signature)

HC / BY
Scott C. Harris BING-AI

(Date)

June 22, 2006

Typed or Printed Name Scott C. Harris

Registration No. 32,030

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